



ISIT2011 ISIT 2011

#1569420679: Capacity of Gaussian Channels with Duty Cycle and Power Constraints

Property	Change Add	Value																		
Conference and track		2011 IEEE International Symposium on Information Theory - 2011 IEEE International Symposium on Information Theory																		
Authors		<table border="1"> <thead> <tr> <th>Name</th> <th>ID</th> <th>Flag</th> <th>Affiliation</th> <th>Email</th> <th>Country</th> </tr> </thead> <tbody> <tr> <td>Lei Zhang</td> <td>408329</td> <td></td> <td>Northwestern University</td> <td>leizhang2011@u.northwestern.edu</td> <td>USA</td> </tr> <tr> <td>Dongning Guo</td> <td>90211</td> <td></td> <td>Northwestern University</td> <td>dGuo@Northwestern.EDU</td> <td>USA</td> </tr> </tbody> </table>	Name	ID	Flag	Affiliation	Email	Country	Lei Zhang	408329		Northwestern University	leizhang2011@u.northwestern.edu	USA	Dongning Guo	90211		Northwestern University	dGuo@Northwestern.EDU	USA
Name	ID	Flag	Affiliation	Email	Country															
Lei Zhang	408329		Northwestern University	leizhang2011@u.northwestern.edu	USA															
Dongning Guo	90211		Northwestern University	dGuo@Northwestern.EDU	USA															
Presenter		presenter not specified																		
Registration																				
Category		Eligible for ISIT Student Paper Award																		
Title		Capacity of Gaussian Channels with Duty Cycle and Power Constraints																		
Abstract		THIS PAPER IS ELIGIBLE FOR THE STUDENT PAPER AWARD. In many wireless communication systems, radios are subject to duty cycle constraint, that is, a radio only actively transmits signals over a fraction of the time. For example, it is desirable to have a small duty cycle in some low power systems; a half-duplex radio cannot keep transmitting if it wishes to receive useful signals; and a cognitive radio needs to listen and detect primary users frequently. This work studies the capacity of scalar discrete-time Gaussian channels subject to duty cycle constraint as well as average transmit power constraint. The duty cycle constraint can be regarded as a requirement on the minimum fraction of nontransmission or zero symbols in each codeword. A unique discrete input distribution is shown to achieve the channel capacity. In many situations, numerical results demonstrate that using the optimal input can improve the capacity by a large margin compared to using Gaussian input, which is capacity-achieving in the absence of the duty cycle constraint. This is because the positions of the nontransmission symbol in a codeword can convey information. The results suggest that, under duty cycle constraint, departing from the usual paradigm of intermittent packet transmissions may yield substantial gain.																		
Topics		Shannon theory																		
Session		The program is not yet visible (tpc)																		
DOI																				
Status		accepted																		
Review manuscript		<table border="1"> <thead> <tr> <th>Document (show)</th> <th>Pages</th> <th>File size</th> <th>Changed</th> <th>MD5</th> <th>Similarity score</th> </tr> </thead> <tbody> <tr> <td></td> <td>5</td> <td>265,075</td> <td>February 15, 2011 18:37:42 EST</td> <td>ef893c9a88ed511581121405179d33bf</td> <td>8</td> </tr> </tbody> </table>	Document (show)	Pages	File size	Changed	MD5	Similarity score		5	265,075	February 15, 2011 18:37:42 EST	ef893c9a88ed511581121405179d33bf	8						
Document (show)	Pages	File size	Changed	MD5	Similarity score															
	5	265,075	February 15, 2011 18:37:42 EST	ef893c9a88ed511581121405179d33bf	8															
Final manuscript		Can upload 5 pages until May 31, 2011 00:00:00 EDT.																		

Personal notes



Reviews

You are a TPC member for this conference.

2 Reviews

Review 1 (Reviewer B)

Importance	Technical Level	Novelty	Presentation	Recommendation
Very Important (4)	Extremely high technical level (5)	Very Novel (4)	Good (4)	Strongly Recommend (5)

Comments and Recommendation (Please give the reasoning for your overall recommendation and any additional comments you wish to add.)

This is a very nice paper. I have two comments:

1. Just a thought -- it would be interesting (and perhaps more fair) to compare the performance (e.g. as in Fig. 2) to "coded TDD", i.e., when the positions used for the Gaussian transmission are first coded to carry information. In high SNR this would add $\sim h_b(q)$ bits to the TDD Gaussian capacity, and in lower SNRs it should add something like the capacity of a BSC whose crossover probability is determined by the SNR, under input type constraint q . This could be viewed as superposition coding for a suitably defined BC. I wonder how well this scheme performs for reasonable SNRs.

2. The paper "Characterization and Computation of Optimal Distributions for Channel Coding" by Huang and Meyn (IT Trans, July 2005) seems to be relevant, especially from the computational perspective.

Review 2 (Reviewer C)

Importance	Technical Level	Novelty	Presentation	Recommendation
Very Important (4)	Extremely high technical level (5)	Very Novel (4)	Excellent (5)	Strongly Recommend (5)

Strengths (What are the key strengths of this paper?)

This paper investigates the capacity of AWGN channels with both an average power constraint and a constraint on how many non-zero symbols the transmitter can send. The naive strategy would be to use a Gaussian codebook on the available symbols. Interestingly, this is far from optimal. As the authors show, the optimal codebook uses a discrete input distribution. This paper develops the necessary mathematics to show this interesting result. Also, via simulation, the authors characterize that large gains are possible over standard Gaussian inputs. The paper is well-written and provides an interesting story and is a natural fit for ISIT.

Weaknesses (What are the major weaknesses of this paper?)

I did not find any major weaknesses.

Comments and Recommendation (Please give the reasoning for your overall recommendation and any additional comments you wish to add.)

In my view, this is a clear accept to ISIT.

Specific suggestions:

p2: "Without loss of capacity, we assume ... PAM or QAM. I don't understand how this does not lose capacity. How does this possibly include Gaussian inputs? Please clarify this sentence.

Fig1: The probabilities do not sum up to 0.7. I assume this is due to rounding errors.

1 Summary review by TPC member

Review 1 (Reviewer A)

TPC recommendation

Strong accept (5)

TPC Recommendation Justification (Please give a justification for your recommendation, especially if the review scores vary widely or your recommendation differs significantly from those of the reviewers.)

Both reviewers are extremely positive about this paper, and I share their enthusiasm. The problem considered by the authors is interesting theoretically and relevant practically, and the results contain some nontrivial insights -- i.e., the use of discrete signaling under a duty cycle constraint is superior to intermittent Gaussian signaling because the timing of nontransmissions can be used to convey information.

The paper draws interesting connections to the classic results of Smith on the capacity of AWGN channels under peak and average power constraints, as well as to more recent work by Chan et al. on capacity-achieving distributions for conditionally Gaussian channels. The presentation is very clear and precise, and the proofs use an impressive array of tools, from estimation and information theory to complex analysis and optimization theory. Very nice work!

The reviewers bring up some minor issues, but I'm sure the authors will have no problem addressing them in the final version.

Discussion

A TPC MEMBER SUBMITTED THE FOLLOWING NOMINATION OF THIS PAPER FOR THE STUDENT PAPER AWARD:
This paper has several distinct strengths, which make it worthy of the student award.

First of all, it deals with an interesting problem and presents an interesting solution. The problem is to characterize the capacity of Gaussian channels under a power constraint and a duty cycle constraint (i.e., transmissions occur at discrete time instants, and there is a constraint on the fraction of nonzero transmissions). The solution has some nontrivial insights -- i.e., the use of discrete signaling under a duty cycle constraint is superior to intermittent Gaussian signaling because the timing of nontransmissions can be used to convey information.

Not a reviewer.
Apr 16, 2011
04:22

Secondly, the paper draws interesting connections to the classic results of Smith on the capacity of AWGN channels under peak and average power constraints, as well as to more recent work by Chan et al. on capacity-achieving distributions for conditionally Gaussian channels. The proofs use an impressive array of tools, from estimation and information theory to complex analysis and optimization theory.

Thirdly, the presentation is clear and precise and avoids unnecessary clutter, which is a bit of a rarity these days.

Overall, both reviewers, who are experts in the area, have given very favorable and enthusiastic assessments of the paper and, quite importantly, did not point out any specific weaknesses. Instead, they spoke to the paper's numerous strengths. I certainly share their opinion. I have done some work on Gaussian channel capacity under peak power constraints a few years ago, and I can certainly appreciate the nontrivial theoretical aspects of problems of this type, as well as their relevance to practical systems.
